Alignment and blast: basic principles and limitations to keep in mind for downstream analyses

David Dylus ESCMID Postgraduate technical workshop 10/09/2019

Outline

- Motivation
 - Simple alignment
 - Complexity
- Querying a sequence against a database (BLAST)
 - Local vs Global alignment
 - How does it work?
 - What does the output mean?
- Mapping a sequence against a genome
 - Burrows Wheeler Transform
 - Tool Performance
 - Things to consider
- Multiple Sequence alignment
 - Basic Idea
 - Which tool is good for which purpose
- Conclusion

Why do you need alignment?

- Determine the expression of genes
 - Count the number of genes mapped to specific regions in the genome
- Find SNPs/SNVs in genomes
- Determine homology of genes
- Find the distance between two sequences
- Multiple sequence alignment for phylogenetic analysis

• Where is GATTACA (len = 7)?

T G A T T A C A G A T T A C C (len = 15)

1.) Align at the beginning

2.) Compare each nucleotide with each nucleotide and count the number of matches

3.) Move one position along the genome and go back to 2.)

• Where is GATTACA?



Match Score: 1/7

First iteration = 7 comparisons Total = 7

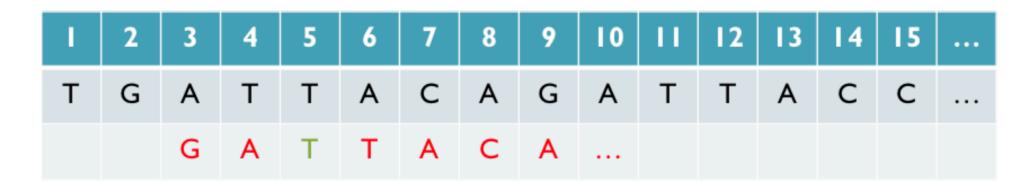
• Where is GATTACA?



Match Score: 7/7

Second iteration = 7 comparisons Total = 14

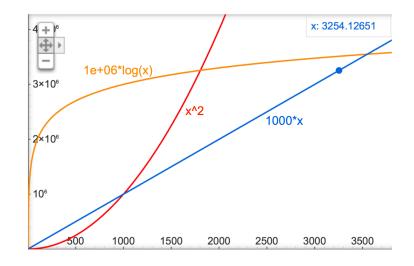
• Where is GATTACA?



Match Score: 1/7

Third iteration = 7 comparisons Total = 21

• Where is GATTACA?



| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Ш | 12 | 13 | 14 | 15 | |
|---|---|---|---|---|---|---|---|---|----|---|----|----|----|----|--|
| т | G | А | Т | Т | А | С | А | G | А | Т | Т | А | С | С | |
| | | | | | | | | G | Α | Т | Т | Α | С | Α | |

Match Score: 6/7 <- We may be very interested in these imperfect matches Especially if there are no perfect end-to-end matches

9th iteration = 7 comparisons

Total = 63

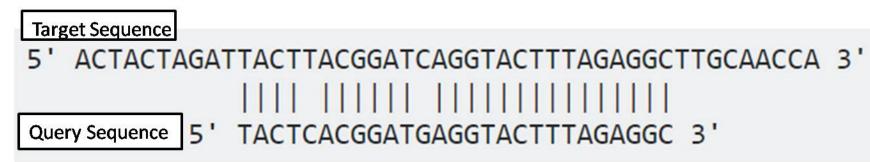
num_queries * (len_query * (len_genome - len_query + 1))

What do we see?

- Brute force will take a long time for many queries
- Indels will be characterized as mismatches and alignment might be scored wrongly
- If we want to find alignments with small number of mismatches we need to store all of these, therefore not only runtime problem but also memory

Alignment

Local Alignment



Global Alignment

Target Sequence

Query Sequence

https://www.majordifferences.com/2016/05/difference-between-global-and-local.html

Alignment

| Global Sequence Alignment | Local Sequence Alignment |
|---|---|
| In global alignment, an attempt is made to align the | Finds local regions with the highest level of |
| entire sequence (end to end alignment) | similarity between the two sequences. |
| A global alignment contains all letters from both the | A local alignment aligns a substring of the |
| query and target sequences | query sequence to a substring of the target |
| | sequence. |
| If two sequences have approximately the same length | Any two sequences can be locally aligned as |
| and are quite similar, they are suitable for global | local alignment finds stretches of sequences |
| alignment. | with high level of matches without |
| | considering the alignment of rest of the |
| | sequence regions. |
| Suitable for aligning two closely related sequences. | Suitable for aligning more divergent |
| | sequences or distantly related sequences. |
| Global alignments are usually done for comparing | |
| homologous genes like comparing two genes with | Used for finding out conserved patterns in |
| same function (in human vs. mouse) or comparing two | DNA sequences or conserved domains or |
| proteins with similar function. | motifs in two proteins. |
| A general global alignment technique is the | A general local alignment method is Smith- |
| Needleman–Wunsch algorithm. | Waterman algorithm. |
| Examples of Global alignment tools. | Examples of Local alignment tools. |
| EMBOSS Needle | • BLAST |
| Needleman-Wunsch Global Align Nucleotide | EMBOSS Water |
| Sequences (Specialized BLAST) | • LALIGN |
| | |

https://www.majordifferences.com/2016/05/difference-between-global-and-local.html

N K C K T <mark>P Q G</mark> Q R L V N Q W N K

20 Amino acids 3 letter words

| Word | Position |
|------------|----------|
| NKC | 1 |
| KCK | 2 |
| СКТ | 3 |
| ••• PQG | 6 |
| • • • | |

BLAST Use these 3 letter words to find high scoring neighbors by comparing it to all 20³ possible words -> First SEED

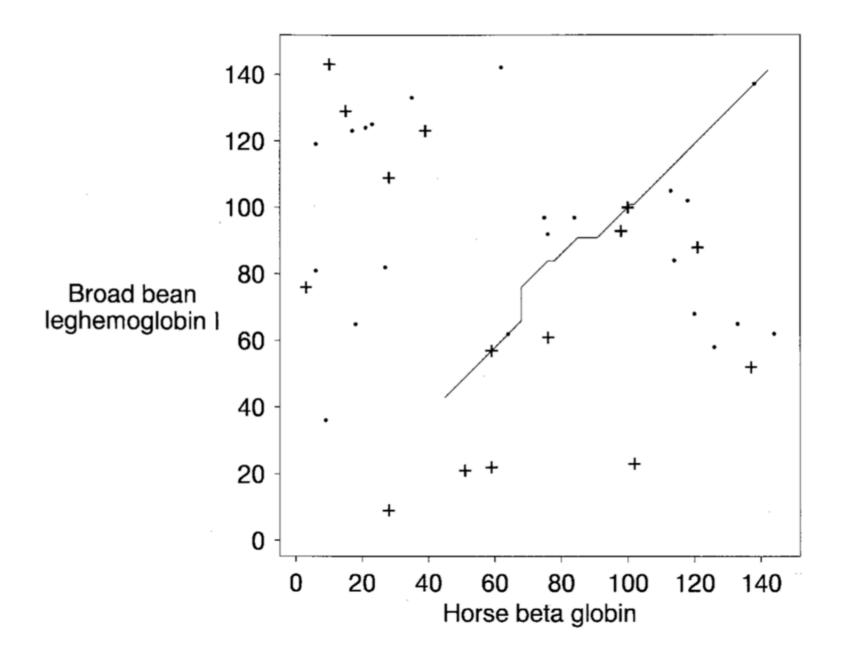
- N K C КΤ RLVNQWNK G Q Ρ Q
 - ΡQ G 24 = 8 + 8 + 6
 - Ε G Ρ

.

- R G Ρ
- 15 Α -4
 - -2 -8 -2 0 6 -6 -7 -5 -6 -7 -1-8 -3 -1 -17 -5 12 -10-8 -2 -9 -2 -5 -8 -9 -4 -6 -7 R -9 0 -4 -3 -2 -10-8 -1 -17 -3 -2 -3 0 -5 -7 -9 0 -2 -8 -3 -1 -17 $^{-1}$ -9 -6 -3 -4 -7 -12 -4 -11-15 -8 -4 -5 6 -1 -17 -9 -7 -6 -15-13-13 -8 -3 -8 -6 -12 -1 -17 -8 -5 -3 -4 -13 -3 -5 -5 -12 -3 -2 -13 -5 -1 -17 2 -5 -5 -9 -4 -7 -14-5 -4 -6 1 -7 -1 -17 -9 -2 -8 -6 -9 -6 -2 -3 6 -9 -11 -8 -6 -15 -14 -5 -10 -1 -17 -9 -10-6 -4 -6 -3 -6 -1 -1 -17 -5 -8 -5 -11 8 -1 -6 -1 -2 -8 -7 -2 -14 -6 2 -6 -1 -17 -5 -9 -10 -6 -1 7 -8 -3 -7 -8 -7 -6 -7 -2 -9 6 -1 -17 -3 -6 -6 -8 -2 -14-3 -12 -2 -4 7 -6 -4 -9 -2 11 -7 -8 -10 -1 1 -4 -8 -5 -4 -13 -10-5 -1 -17 -2 -3 9 -15 -13 -14 -9 -6 -14-4 -10 -6 -9 -10-1 -17 -13 -13-4 -8 -3 -6 -4 -8 -7 -6 -8 -10 8 -2 -4 -14 -13 -6 -7 -1 -17 -4 -3 -5 -2 -6 -7 -8 -5 -6 -2 6 0 -5 -1 0 -4 -6 -5 -1 -17 -6 -2 -5 -8 -5 -6 -6 -7 -2 -7 -3 -4 -9 -4 0 7 –13 -6 -3 -3 -5 -6 -1 -17 -13-2 -15 -15 -13 -17 -15 -7 -14 -6 -12 -13 -4 - 14-5 -13 13 -5 -10-1 -17 -10 -11-12 -8 -14-3 -6 -7 -9 -11 2 -13 -6 -5 10 -6 -1 -17 -7 -9 -1 -8 -8 -7 -6 -5 -6 2 -2 -9 -6 -6 -3 -15 -7 7 -8 -6 -1 -17 -3 -7 6 6 -12 -3 1 -3 -1 -6 -9 -2 -10 -10 -7 -1-3 -10 -6 -8 6 -8 0 -1 -17 -5 -10 -7 5 -7 -2 -7 -8 -5 -8 J -6 -7 -10-9 -7 6 0 -7 -7 0 -6 -1 -17 -3 -4 1 - 146 6 -5 -1 -6 -7 -4 -5 -13 -4 -5 -6 -14 -9 -6 0 -6 6 -1 -17 \mathbf{z} -3 Х -17

BLAST Once a HSP is found extend the seed using dynamic programming until the score drops below a specific threshold and report the output

| Score Expect 18.9 bits(37) 1e-04 | | Identities 6/14(43%) | Positives 9/14(64%) | Gaps 0/14(0%) | |
|--|---|--------------------------------|------------------------|------------------|--|
| Query | 3 | CKTPQGQRLVNQWN C TP+G R+ W+ | 16 | | |
| Sbjct | 3 | CVTPEGSRMLKRWD | 16 | | |



BLAST

BLAST Stats

- Because we are using a matrix with different values for the alignment between two residues score and length of the alignment are not comparable
- E-value depends on database size and especially when using custom database can be misleading

| 1 | | Expect 1e-04 | Identities 6/14(43%) | Positives 9/14(64%) | Gaps 0/14(0%) |
|-------|---|--------------------------------|----------------------|------------------------|------------------|
| Query | 3 | CKTPQGQRLVNQWN C TP+G R+ W+ | 16 | | |
| Sbjct | 3 | | 16 | | |

BLAST

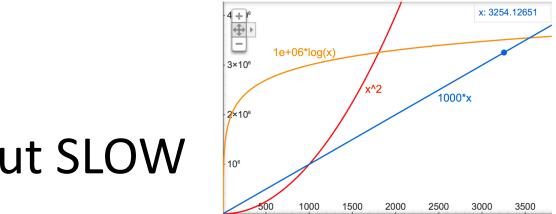
| Program | Query Sequence | Target Sequence |
|---------|--|--|
| BLASTN | Nucleotide | Nucleotide |
| BLASTP | Protein | Protein |
| BLASTX | Nucleotide, six- frame translation | Protein |
| TBLASTN | Protein | Nucleotide, six- frame translation |
| TBLASTX | Nucleotide, six- frame translation | Nucleotide, six- frame translation |

BLAST - Summary

- Searches for high-scoring segment pairs (HSPs)
 - Look for high scoring words of length W
 - Compile list L of all W-mers that score >T with some word in query sequence
 - Scan database for words in L
 - When some word found: Extend alignment
 - When score drops more than X below hitherto best score stop extension
 - Report all words with large score S
- Results in all plausible alignments between two sequences

Questions BLAST

- Does BLAST give you the best possible alignment?
- Is the e-value a good choice to measure how well aligned it is?
- Would you use blast to align reads to a genome?



BLAST is great but SLOW

O(Number Queries * Length Query * DB Size)

Typical:

Align 1.000.000.000 reads with 100bp length to genome with 3.000.000 bp (Bacteria)

Alignment Problem: reads against Genome

- Detection of SNV
- Detection of SNPs
- Detection of presence
- Expression of genes / regions

How to align sequence reads to a reference?

Seeding: for each position, find longest exact match covering the position

Index genome with Burrows-Wheeler Transform

Slide kindly provided by Aitana Lebrand

Burrows Wheel Transform (BWT):

- Computational trick on how data can be stored and searched
- Write all permutations of string and sort alphabetically (\$ first)

| (a) | \$acaac <mark>g</mark> |
|------------|-----------------------------------|
| | aacg\$ac |
| | a c a a c g \$ |
| acaacg\$-> | acg\$ac <mark>a →</mark> gc\$aaac |
| | caacg\$ <mark>a</mark> |
| | c g \$ a c a <mark>a</mark> |
| | g \$ a c a a <mark>c</mark> |

| Current Pos | Nucleotide | Old Pos |
|--------------------|-----------------------|---------|
| 1 | g ₁ | 7 |
| 2 | c ₁ | 5 |
| 3 | \$ | 1 |
| 4 | a_1 | 2 |
| 5 | a ₂ | 3 |
| 6 | a ₃ | 4 |
| 7 | C ₂ | 6 |

Taken from Langmead et al, 2010, Genome Biology

Burrows Wheel Transform (BWT):

• From last column alone we are able to reconstruct the whole genome

| Current Pos | Nucleotide | Old Pos |
|--------------------|-----------------------|---------|
| 1 | g ₁ | 7 |
| 2 | c_1 | 5 |
| 3 | \$ | 1 |
| 4 | a ₁ | 2 |
| 5 | a ₂ | 3 |
| 6 | a ₃ | 4 |
| 7 | C ₂ | 6 |



Taken from Langmead et al, 2010, Genome Biology

Burrows Wheel Transform (BWT):

- Exact matching can be performed in O(len query seq) time
- We know that a [2:4], c [5:6], g [7]

| | a a c | a a c | aac | Current Pos | Nucleotide | Old Pos |
|-----|----------|----------|----------|--------------------|-----------------------|---------|
| (c) | \$acaacg | | \$acaacg | 1 | g ₁ | 7 |
| | aacg\$ac | | aacg\$ac | 2 | c_1 | 5 |
| | acaacg\$ | | acaacg\$ | 3 | \$ | 1 |
| | acg\$aca | | acg\$aca | 4 | a ₁ | 2 |
| - | caacg\$a | | caacg\$a | 5 | a ₂ | 3 |
| | cg\$acaa | 0 | cg\$acaa | 6 | a ₃ | 4 |
| | g\$acaac | g\$acaac | g\$acaac | 7 | c ₂ | 6 |

Taken from Langmead et al, 2010, Genome Biology

How to align sequence reads to a reference?

Extend seed (allow both local and end-to-end alignments with inexact matching)



Famous mappers

BWA (Li and Durbin 2009)

Bowtie2 (Langemead et al. 2009)

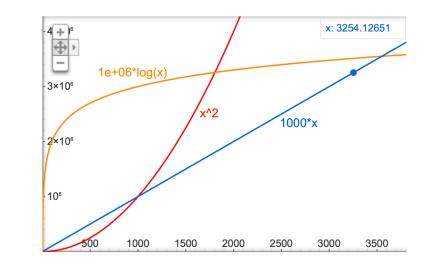
Slide kindly provided by Aitana Lebrand

Burrows Wheel Transform (BWT):

 Inexact matching uses extend trick where at positions that not overlap quality of base call is considered and possible substitutions

Burrows Wheel Transform (BWT):

• Transformation possible in O(n)



- Reconstruct Genome from BWT(Genome) in time O(|genome|)
- Search for all exact occurrences of read in time O(|read|)
- BWT(Genome) is easier to compress than Genome

Comparison of Tools



Be aware that this benchmark was done for Bowtie2 and other benchmarks might be different

Taken from Langmead et al, 2012, Nature Methods

Bowtie 2 typical run

```
[ddylus@dbc-serv05 bowtie2]$ time bowtie2 -x ref_genome -1 ../01_R1.fastq.gz -2 ../01_R2
.fastq.gz -S ref_genome.sam -p 6 --no-unal
945064 reads; of these:
  945064 (100.00%) were paired; of these:
    238691 (25.26%) aligned concordantly 0 times
    685378 (72.52%) aligned concordantly exactly 1 time
    20995 (2.22%) aligned concordantly >1 times
    ----
    238691 pairs aligned concordantly 0 times; of these:
      40883 (17.13%) aligned discordantly 1 time
     _ _ _ _
    197808 pairs aligned 0 times concordantly or discordantly; of these:
      395616 mates make up the pairs; of these:
        353801 (89.43%) aligned 0 times
        36824 (9.31%) aligned exactly 1 time
        4991 (1.26%) aligned >1 times
81.28% overall alignment rate
```

real 0m35.467s user 3m29.804s sys 0m11.765s

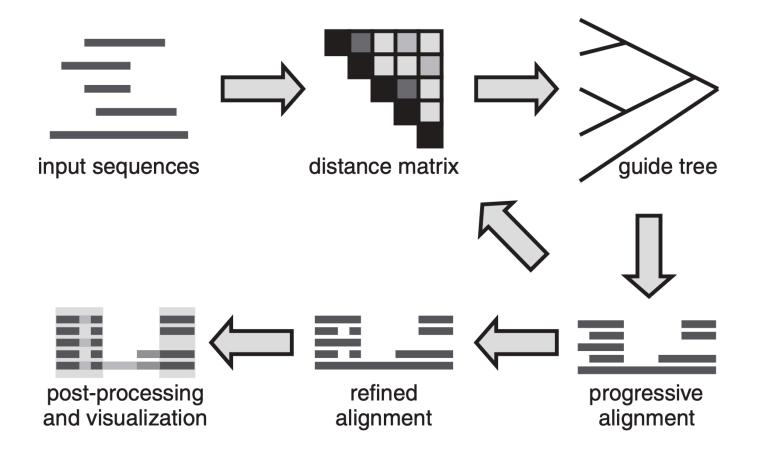
BWA typical runs

[M::mem_process_seqs] Processed 148366 reads in 11.650 CPU sec, 0.976 real sec [main] Version: 0.7.17-r1188 [main] CMD: bwa mem -t 12 ../reference_genome.fasta ../01_R1.fastq.gz ../01_R2.fastq.gz [main] Real time: 15.105 sec; CPU: 136.568 sec real 0m15.165s user 2m13.188s sys 0m3.435s

Aligning the whole read... or part of it

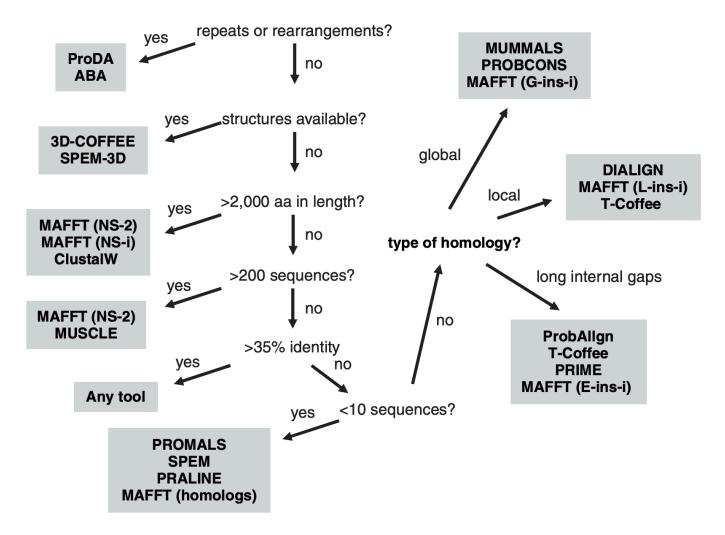
- Real life datasets are often not perfect:
- poor base call qualities, sequencing errors, insertions or deletions, structural variation, contaminants or adapter...
- → Despite adapter/quality trimming and allowing for mismatches/indels, some parts of the reads may still consist of sequences not present in the genome
- Hard-clipping (removed from BAM) vs. soft-clipping (still part of BAM; not used for SVN calling, depth calculation, but can be useful to look into translocations, deletions...)
- ! Neither soft nor hard clipped regions are displayed in a viewer!

What about Multiple Sequence Alignment



http://ai.stanford.edu/~chuongdo/papers/alignment_review.pdf

What about Multiple Sequence Alignment



http://ai.stanford.edu/~chuongdo/papers/alignment_review.pdf

Take home messages

- Be careful when comparing a blast result when using different search DBs
- Blast does not guarantee the most optimal alignment between you query and the obtained sequence from the DB
- Be aware that depending on read alignment tool you might end up with differences (for instance different SNPs)
- Do not just trust the MSA algorithm that is readily presented to you but decide based on your data

Source for this talk

Computational Biology:

• <u>https://ocw.mit.edu/courses/biology/7-91j-foundations-of-computational-and-systems-biology-spring-2014/video-lectures/</u>

Bowtie and BWA:

• http://merenlab.org/2015/06/23/comparing-different-mapping-software/

BWT:

<u>https://www.youtube.com/watch?v=4n7NPk5lwbl</u>

Alignment:

https://www.youtube.com/watch?v=hpb-mH-yjLc&list=PL2mpR0RYFQsBiCWVJSvVAO3OJ2t7DzoHA

Blast:

- <u>https://www.ndsu.edu/pubweb/~mcclean/plsc411/Blast-explanation-lecture-and-overhead.pdf</u>
- <u>https://developer.ibm.com/articles/j-seqalign/</u>
- <u>http://csc.columbusstate.edu/carroll/7840/private/papers/BasicLocalAlignmentSearchTool-BLAST.pdf</u>
- <u>https://www.youtube.com/watch?v=SAweFv8l8ow</u>
- http://web.math.ku.dk/~richard/courses/binf_project/Stinus-BLAST.pdf

References

MSA Benchmark

https://arxiv.org/pdf/1211.2160.pdf

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2995051/

https://academic.oup.com/bioinformatics/advancearticle/doi/10.1093/bioinformatics/btz552/5530966

Mapper benchmark:

https://www.ecseq.com/support/benchmark.html

https://www.biostars.org/p/125020/